09 680756

PATENT PSW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assignee: Spinal Concepts, Inc.

Applicants: (See Attachment A)

Patent No.: (See Attachment A)

Issue Date: (See Attachment A)

Serial No.: (See Attachment A)

Filed: (See Attachment A)

For: (See Attachment A)

Attorney Docket No.: (See Attachment A)

Certificate of Mailing under 37 CFR §1.8(a):

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail

addressed to as follows:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date of Deposit: August 19, 2004.

Kimberly A. Jofio

Date

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

Dear Sir:

Enclosed herewith for the patent application identified in Attachment A, attached herewith, are the following:

- 1. Power of Attorney and Change of Correspondence Address Indication Form with Attachment A listing each Application/Patent No. (6 Pages);
- 2. Statement Under 37 CFR 3.73(b) with Attachment A listing each Application, Patent, Reel and Frame No. (6 Pages);
- 3. Notification of Loss of Entity with Attachment A listing each Application/Patent No. (6 Pages); and
- 4. Return Receipt Postcard.

The Commissioner is herby authorized to charge any additional Filing Fees required under 37 CFR §1.16, as well as any patent application processing fees under 37 CFR §1.17 associated with this communication for which full payment had not been tendered, to Deposit Account No. 01-0025.

Respectfully submitted, Spinal Concepts, Inc.

ABBOTT LABORATORIES

Customer No.: 23492

Telephone: (847) 938-7576 Facsimile: (847) 938-2623 Beth A. Vrioni

Registration No. 39,869

Attorney for Applicants

PTO/SB/96 (06-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Spinal Concepts, Inc. Application No./Patent No.: See Attachment A Filed/Issue Date: See Attachment A Entitled: See Attachment A Spinal Concepts, Inc. , a corporation (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) states that it is: 1. It the assignee of the entire right, title, and interest; or 2. an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is in the patent application/patent identified above by virtue of either: A. [r] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. (See Attachment A) OR B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown 1. From: -To: -The document was recorded in the United States Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached. 2. From: To: The document was recorded in the United States Patent and Trademark Office at Reel ______, Frame ______, or for which a copy thereof is attached. The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. [] Additional documents in the chain of title are listed on a supplemental sheet. [] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08] The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. Jeffrey R. Binder Typed or printed name (512) 918-2700 Telephone number Signature Vice President, Spinal Concepts, Inc. Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ulive	Elling Date	Status	Samellaria	Parientino	Vinc. Swinschweitzung		Fürst Named	Assignment Recovereme No. 3.
09/691279	10/17/2000	Granted	5/13/2003	6562040	5259-00100USC1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
09/093756	6/9/1998	Granted	10/17/2000	6132430	5259-00100USD1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
09/434324	11/4/1999	Filed			5259-00100USD2	Method and Apparatus for Spinal Fixation	Frik .1 Wagner	008359/0658 Recorded 1/21/97; 008802/0192 Recorded 12/31/97; 014210/0603 Recorded 6/26/03
08/740123	10/24/1996	Granted	7/9/2002	6416515	5259-00100USO1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
08/942325	10/1/1997	Granted	7/22/2003	6595992	5259-00100USP1	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008802/0192 Recorded 12/31/97
09/026711	2/20/1998	Granted	11/23/1999	5989250	5259-00100USP2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	014210/0603 Recorded 6/26/03
09/605200	6/27/2000	Granted	6/10/2003	6576016	5259-00200USC1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98; 008756/0146 Recorded 10/14/97
09/153178	9/15/1998	Granted	6/27/2000	6080193	5259-00200USD1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98
08/847172	5/1/1997	Granted	4/4/2000	6045579	5259-00200USO1	Adjustable Height Fusion Device	Stephen Houchschuler	008756/0146 Recorded 10/14/97
09/046759	3/24/1998	Granted	11/4/2003	6641614	5259-00200USP1	Multi-Adjustable-Height Fusion Cage	Erik J. Wagner	009442/0848 Recorded 8/31/98
09/070116	4/30/1998	Granted	3/16/2004	6706070	5259-00200USP2	Multi-Adjustable-Height Fusion Device	Erik J. Wagner	
09/211887	12/15/1998	Granted	8/10/1999	5935133	5259-00500USD1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/211888	12/15/1998	Granted	5/21/2002	6391030	5259-00500USD2	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
08/919127	8/26/1997	Granted	10/12/1999	5964769	5259-00500USO1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/085186	5/26/1998	Granted	4/25/2000	6053921	5259-00500USP1	Surgical Cable System and Method	Erik J. Wagner	009351/0189 Recorded 7/21/98 009874/0023 Recorded 4/2/99
09/360062	7/23/1999	Granted	1/27/2004	6682533	5259-00500USP2	Surgical Cable System and Method	Erik J. Wagner	010396/0094 Recorded 11/22/99

ApplicationiNo: Filing Date: Status	Filing Date	Status	Issue Date	Issue,Date Patent,No.	Attorney Docker No.	9011	First Named Inventor	Assignmentkeelframe No. 8. Recordation fam
10/036012	12/26/2001	Filed			5259-00600USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	ь	009450/0947 Recorded 9/11/98
09/089027	6/2/1998	Granted	9/24/2002	6454769	5259-00600USP1	System and Method for Stabilizing the Human Spine with a Bone Plate	T	009450/0947 Recorded 9/11/98
09/095290	6/10/1998	Granted	2/29/2000	6030389	5259-00600USP2	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0959 Recorded 9/11/98
08/896281	7/16/1997	Granted	7/27/1999	5928243	5259-00700USO1	Pedicle Probe and Depth Gage	Richard D. Guyer	008932/0159 Recorded 1/30/98
09/159303	9/23/1998	Granted	11/14/2000	6146382	5259-01200USO1	to-Cervical zation System and d	John R. Hurlbert	0096667/0352 Recorded 12/23/98
09/070323	4/30/1998	Granted	8/8/2000	6099527	5259-01300USO1	Bone Protector and Method	Stephen Houchschuler	009650/0211 Recorded 11/9/98
09/059162	4/13/1998	Granted	5/16/2000	6063089	5259-02100USC1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/772407	12/23/1996	Granted	7/7/1998	5776135	5259-02100USO1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/059108	4/13/1998	Granted	10/31/2000	6139548	5259-02200USC1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/549977	10/30/1995	Granted	5/19/1998	5752955	5259-02200USO1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070234	4/30/1998	Granted	10/5/1999	5961518	5259-02300USC1	Pedicle Screw hrough Bar king Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/261977	3/4/1999	Granted	1/25/2000	6017344	5259-02300USD1		Joseph P. Errico	009275/0021 Recorded 6/30/98

Appliection No. Filling Date	न्ताम्ब) छिन्छ	Status	ejegjenssj	Status ssue Date RatentiNo:	Attorney Docket No.	ODI)	Filisti Nemool Invertor	Assignment ResUrtemo No. 3. Recordation Data
08/856773	5/15/1997	Granted	7/28/1998	5785711	5259-02300USO1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/880810	6/23/1997	Granted	9/22/1998	5810819	5259-02300USP1	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070268	4/30/1998	Granted	12/7/1999	5997539	5259-02300USP2	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/622891	3/29/1996	Granted	8/5/1997	5653763	5259-02500USO1	Intervertebral Space Shape Conforming Cage Device	Joseph P. Errico	009490/0692 Recorded 10/15/98
08/567144	12/4/1995	Granted	9/16/1997	5667507	5259-02600USO1	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	James P. Errico	James P. Errico 9490/0692 Recorded 10/5/98
08/632561	4/15/1996	Granted	1/20/1998	5709684	5259-02600USP1	Advanced Compression Locking Variable Length Cross-Link Device	Joseph P. Errico	9490/0692 Recorded 10/5/98
09/229297	1/13/1999	Granted	9/26/2000	6123707	5259-03000USO1	Reduction Instrument	Erik J. Wagner	009840/0875 Recorded 3/2/99
09/298269	4/23/1999	Granted	9/3/2002	6442814	5259-03100USO1	Apparatus for Manufacturing a Bone Dowel	Erik J. Wagner	010085/0138 Recorded 7/12/99
09/369964	8/6/1999	Granted	5/6/2003	6557226	5259-03100USP1	Apparatus for Manufacturing a Bone Dowel	Erik J. Wagner	010347/0747 Recorded 10/29/99
09/532387	3/22/2000	Granted	5/20/2003	6565566	5259-03600USO1	Sacral Screw Assembly and Method	Erik J. Wagner	011073/0752 Recorded 9/13/00
10/015206	11/19/2001	Filed			5259-03700USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00
10/735976	12/15/2003	Filed			5259-03700USC2	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00

Application No.	FIIIng Date	Status:	ssue Date	PatentiNo	Attorney DocketiNo:	<u>eni</u>	Filzski Named Inventor	Assignment(RegUttermo.No. 8: Recordation leava
09/479458	1/6/2000	Granted	12/18/2001	6331179	5259-03700USO1	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00
09/961758	9/24/2001	Granted	9/9/2003	6616671	5259-04000USC1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/478923	1/6/2000	Granted	9/10/2002	6447512	5259-04000USO1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/755183	7/5/2001	Granted	2/25/2003	6524312	5259-04000USP1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	012032/0293 Recorded 7/31/01 013006/0228 Recorded 5/23/02
10/387329	3/11/2003	Filed			5259-04500USO1	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014380/0261 Recorded 8/11/03
10/387363	3/11/2003	Filed			5259-04500USO2	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014403/0794 Recorded 8/13/03
10/387361	3/11/2003	Filed			5259-04500USO3	Sinal Implant Including a Compressible Connector	Michael E. Landry	014383/0746 Recorded 8/11/03
09/952209	9/12/2001	Granted	6/8/2004	6746449	5259-04600USO1	Spinal Rod Translation Instrument	Robert J. Jones	Robert J. Jones 012557/0740 Recorded 1/30/02
09/684628	10/6/2000	Filed			5259- <u>0</u> 4700USQ1	Transverse Connector with Cam Activated Engagers	Grady G. McBride	011515/0894 Recorded 2/12/01
09/684218	10/6/2000	Filed			5259-04800USO1	Adjustable Transverse Connector	Grady G. McBride	011518/0637 Recorded 2/12/01
09/680756	10/6/2000	Filed			5259-04900USO1	Adjustable Transverse Connector with Cam Activated Engagers	Grady G. McBride	011593/0900 Recorded 3/15/01
10/337219	1/6/2003	Filed			5259-07800USO1	Surgical Implants for Use as Spinal Spacers	David J. Krueger	014157/0620 Recorded 6/6/03
10/200024	7/19/2002	Filed			5259-07900USO1	Spinal Stabilization System and Method	Margaret E. Mitchell	013449/0035 Recorded 10/29/02
10/291245	11/8/2002	Filed			5259-08300USO1	Spinal Implant	Javier Garcia- Bengchoa	013796/0202 Recorded 2/24/03

Application No. Filling Date	Filing Date	Status	ssue Date	<u>PatentiNos</u>	o. Attorney Docket No.	Jule 1	alrest(Nemecl) Inventor	Assignmentikes/Herme No. ය Recognition pro:
10/291223	11/8/2002	Filed			5259-08300USO2	Instruments and Methods for Javier Garcia-Inserting a Spinal Implant Benochoa	Javier Garcia- Benochoa	013786/0320 Recorded 2/21/03
10/356391	1/31/2003	Filed			5259-09200USO1		Jim Freid	014181/0907 Recorded 6/16/03
10/351283	1/23/2003	Filed			5259-09900USO1	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid	014397/0674 Recorded 8/14/03
10/351288	1/24/2003	Filed			5259-09900USO2	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid	014302/0118 Recorded 7/15/03
29/155057	2/1/2002	Filed			5259-10000USO1	Extension Plate	Jim Freid	012823/0549 Recorded 4/22/02
10/698049	10/30/2003	Filed			5259-10700USO1	Bone Fastner Assembly for a Spinal Stabilization System	Michael E. Landry	Assignment filed 4/2/04 Reel/Frame not yet received
10/698010	10/30/2003	Filed			5259-10700USO2	tabilization Systems hods Using y Invasive Surgical res	Michael E. Landry	Assignment filed 3/30/04 Reel/Frame not yet received
10/697793	10/30/2003	Filed			5259-10700USO3	lization Systems s	Michael E. Landry	Assignment filed 3/29/04 Reel/Frame not yet received
10/698046	10/30/2003	Filed			5259-10800USO1	Spinal Stabilization System Using Flexible Members	Michael E. Landry	Assignment filed 3/31/04 Reel/Frame not yet received
10/698966	10/31/2003	Filed			5259-11100USO1	Spinal Disc Implant	James F. Marino	Assignment Filed 3/12/2004; Reel/Frame not yet received
10/699618	10/31/2003	Filed			5259-11300USO1	Movable Disc Implant	David J. Krueger	Assignment filed 4/2/04 Reel/Frame not yet received
10/331191	12/27/2002	Filed			5259-12300USP1	Pseudo Arthrosis Device	Reginald J. Davis	014164/0207 Recorded 6/12/03

itigner's Docket No. (See Attachment A)
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicant: (See Attachment A)

Application Number: (See Attachment A)
Application Filing Date: (See Attachment A)

Patent Number: (See Attachment A)
Patent Issuance Date: (See Attachment A)
Invention Title: (See Attachment A)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

NOTIFICATION OF LOSS OF STATUS AS SMALL ENTITY (37 C.F.R. § 1.27(g)(2))

- 1. Applicant asserted small entity status on the applications listed on Attachment A, on the dates listed on Attachment A, by payment of the basic filing fee as a small entity (37 C.F.R. § 1.27(c)(3)).
- 2. Applicant hereby notifies the Office, in accordance with the requirements of 37 C.F.R. § 1:27(g)(2), that it no longer has status as a small entity.

Beth A. Vrioni, Reg. No. 39,869

Date: August 19, 2004

Signature

Assignee:

Person authorized to sign on behalf of assignee

Spinal Concepts, Inc. 5301 Riata Park Court Bldg. F Austin, TX 78727

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a)

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 with sufficient postage as first class mail.

Date: 6 19 04

Signature

Cimberly A. Iorio

10/17/2000 Granted 5/13/2003 6562040 5259-00100USD1 Spinal Fixation System 6/9/1998 Granted 10/17/2000 6132430 5259-00100USD1 Spinal Fixation System 11/4/1999 Filied 7/9/2002 6416515 5259-00100USD1 Spinal Fixation System 10/1/1997 Granted 7/9/2002 6416515 5259-00100USD1 Spinal Fixation System 10/1/1997 Granted 7/2/2003 6596992 5259-00100USD1 Spinal Fixation System 2/20/1998 Granted 7/2/2003 6576016 5259-00100USD1 Spinal Fixation System 6/27/2000 Granted 7/1/2/1999 589250 5259-00100USD1 Spinal Fixation System 6/27/2000 Granted 6/10/2003 6576016 5259-00200USD1 Spinal Fixation System 9/15/1998 Granted 6/10/2003 6576000 5259-00200USD1 Adjustable Height Fusion 9/15/1998 Granted 1/1/2/2004 6046579 5259-00200USD1 Adjustable Height Fusion 12/15/1998 Granted 1/1/2/2003	Appliedon No Fillippiero	न्यागिका कि राज्ञ 	Setus	ලාම ගැනු	Parami No.	Automay Docket No.		First Named Inventor	Assignment Reel/Framei No. & Recordation loats
6/9/1998 Granted 10/17/2000 6/132430 5259-00100USD1 Spinal Fixation System 11/4/1999 Filed 5259-00100USD2 Spinal Fixation System 10/1/1997 Granted 7/9/2002 6416515 5259-00100USD1 Spinal Fixation System 2/20/1998 Granted 7/2/2003 6596992 5259-00100USD1 Spinal Fixation System 2/20/1998 Granted 1/1/23/1999 5989250 5259-00100USD1 Spinal Fixation System 6/27/2000 Granted 1/1/23/1999 5989250 5259-00100USD2 Spinal Fixation System 6/27/2000 Granted 1/1/23/1999 5989250 5259-00100USD2 Spinal Fixation System 6/27/2000 Granted 6/1/0,2003 6559-00200USD1 Adjustable Height Fusion 6/27/2000 Granted 6/1/0,2003 65269-00200USD1 Device 6/27/2000 Granted 1/1/4/2003 6641614 5259-00200USD1 Fusion Cape 6/27/2004 Granted 1/1/4/2003 6641614 5259-00200USD1 Fusion Cape 1/2/15/1	09/691279	10/17/2000	Granted	l	6562040	5259-00100USC1		Erik J. Wagner	008359/0658 Recorded 1/21/97
1/4/1999 Filed	09/093756	6/9/1998	Granted	10/17/2000	6132430	5259-00100USD1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
10724/1996 Granted 7/9/2002 6416515 5259-00100USO1 Spinal Fixation System 10/1/1997 Granted 7/22/2003 6595992 5259-00100USP1 Spinal Fixation Method and Apparatus for Spinal Fixation 2/20/1998 Granted 11/23/1999 5989250 5259-00200USC1 Method and Apparatus for Spinal Fixation 9/15/1998 Granted 6/10/2003 6576016 5259-00200USC1 Device 9/15/1998 Granted 6/10/2003 6576016 5259-00200USC1 Device 9/15/1998 Granted 6/10/2003 6641614 5259-00200USC1 Device 4/30/1998 Granted 11/4/2003 6641614 5259-00200USC1 Device 4/30/1998 Granted 3/16/2004 6706070 5259-00200USC1 Multi-Adjustable-Height Fusion 12/15/1998 Granted 3/16/2004 6706070 5259-00200USC1 Multi-Adjustable-Height 12/15/1998 Granted 5/21/2002 6391030 5259-00200USC1 Surgical Cable System and 8/26/1997 Granted 4/25/2000	09/434324	11/4/1999	Filed			5259-00100USD2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008359/0658 Recorded 1/21/97; 008802/0192 Recorded 12/31/97; 014210/0603 Recorded 6/26/03
10/1/1997 Granted 7/22/2003 6595992 5259-00100USP1 Method and Apparatus for Spinal Fixation 2/20/1998 Granted 11/23/1999 5989250 5259-00100USP2 Spinal Fixation 6/27/2000 Granted 6/10/2003 6576016 5259-00200USC1 Adjustable Height Fusion 9/15/1998 Granted 6/10/2003 6676016 5259-00200USC1 Device 4/30/1998 Granted 4/4/2000 6045579 5259-00200USC1 Device 4/30/1998 Granted 4/4/2000 6045579 5259-00200USC1 Device 4/30/1998 Granted 1/14/2003 6641614 5259-00200USC1 Pusion Cage 4/30/1998 Granted 1/14/2003 6641614 5259-00200USC1 Fusion Cage 12/15/1998 Granted 1/10/1999 5935133 5259-00500USD1 Multi-Adjustable-Height 12/15/1998 Granted 1/0/12/1999 5935133 5259-00500USD1 Surgical Cable System and 8/26/1997 Granted 1/17/2004 6053921 5259-00500USD1	08/740123	10/24/1996	Granted	7/9/2002	6416515	5259-00100USO1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
2/20/1998 Granted 11/23/1999 5989250 5259-00100USP2 Method and Apparatus for Spinal Fixation 6/27/2000 Granted 6/10/2003 6576016 5259-00200USC1 Device Device Height Fusion 9/15/1998 Granted 6/27/2000 6080193 5259-00200USD1 Adjustable Height Fusion Device Device Device Device Device Device 3/24/1998 Granted 4/4/2000 6045579 5259-00200USD1 Adjustable Height Fusion Device	08/942325	10/1/1997	Granted	7/22/2003	6595992	5259-00100USP1	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008802/0192 Recorded 12/31/97
6/27/2000 Granted 6/10/2003 6576016 5259-00200USC1 Adjustable Height Fusion Device 9/15/1998 Granted 6/27/2000 6080193 5259-00200USD1 Adjustable Height Fusion Device 5/1/1997 Granted 4/4/2000 6045579 5259-00200USD1 Adjustable Height Fusion Device 4/30/1998 Granted 1/14/2003 6641614 5259-00200USD1 Fusion Cage 12/15/1998 Granted 3/16/2004 6706070 5259-00200USP2 Fusion Device 12/15/1998 Granted 8/10/1999 5935133 5259-00500USP2 Fusion Device 12/15/1998 Granted 10/12/1999 59364769 5259-00500USD1 Method 8/26/1997 Granted 10/12/1999 5964769 5259-00500USD2 Surgical Cable System and Syst	09/026711	2/20/1998	Granted	11/23/1999	5989250	5259-00100USP2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	014210/0603 Recorded 6/26/03
9/15/1998 Granted 6/27/2000 6080193 5259-00200USD1 Adjustable Height Fusion 5/1/1997 Granted 4/4/2000 6045579 5259-00200USD1 Adjustable Height Fusion 3/24/1998 Granted 1/1/2003 6641614 5259-00200USP1 Fusion Cage 4/30/1998 Granted 3/16/2004 6706070 5259-00200USP2 Fusion Device 12/15/1998 Granted 3/16/2004 6706070 5259-00200USP2 Fusion Device 12/15/1998 Granted 8/10/1999 5935133 5259-00500USP2 Fusion Device 12/15/1998 Granted 10/12/1999 5984769 5259-00500USD1 Method 8/26/1997 Granted 10/12/1999 5964769 5259-00500USD1 Method 5/26/1998 Granted 10/12/1999 5964769 5259-00500USD1 Method 7/23/1999 Granted 10/12/1999 5964769 5259-00500USP1 Method 7/23/1999 Granted 1/27/2004 6682533 5259-00500USP2	09/605200	6/27/2000	Granted	6/10/2003	6576016	5259-00200USC1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98; 008756/0146 Recorded 10/14/97
5/1/1997 Granted 4/4/2000 6045579 5259-00200USO1 Adjustable Height Fusion Device 3/24/1998 Granted 11/4/2003 6641614 5259-00200USP1 Fusion Cage 4/30/1998 Granted 3/16/2004 6706070 5259-00200USP2 Fusion Device 12/15/1998 Granted 8/10/1999 5935133 5259-00200USP2 Fusion Device 12/15/1998 Granted 8/10/1999 5935133 5259-00500USD1 Multi-Adjustable-Height 12/15/1998 Granted 10/12/1999 5935133 5259-00500USD1 Method 8/26/1997 Granted 10/12/1999 5964769 5259-00500USD1 Method 5/26/1998 Granted 10/12/1999 5964769 5259-00500USD1 Method 5/26/1998 Granted 1/27/2004 6053921 5259-00500USP1 Method 7/23/1999 Granted 1/27/2004 6682533 5259-00500USP2 Method	09/153178	9/15/1998	Granted	6/27/2000	6080193	5259-00200USD1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98
3/24/1998 Granted 11/4/2003 6641614 5259-00200USP1 Multi-Adjustable-Height Fusion Cage Multi-Adjustable-Height Fusion Cage 4/30/1998 Granted 3/16/2004 6706070 5259-00200USP2 Fusion Device Fusion Device Surgical Cable System and Surgical Cable System and System	08/847172	5/1/1997	Granted	4/4/2000	6045579	5259-00200USO1	Adjustable Height Fusion Device	Stephen Houchschuler	008756/0146 Recorded 10/14/97
4/30/1998 Granted 3/16/2004 6706070 5259-00200USP2 Fusion Device 12/15/1998 Granted 8/10/1999 5935133 5259-00500USD1 Multi-Adjustable-Height 12/15/1998 Granted 8/10/1999 5935133 5259-00500USD1 Method 8/26/1997 Granted 10/12/1999 5964769 5259-00500USD1 Method 5/26/1998 Granted 4/25/2000 6053921 5259-00500USP1 Method 7/23/1999 Granted 1/27/2004 6682533 5259-00500USP2 Method	09/046759	3/24/1998	Granted	11/4/2003	6641614	5259-00200USP1	Multi-Adjustable-Height Fusion Cage	Erik J. Wagner	009442/0848 Recorded 8/31/98
12/15/1998 Granted 8/10/1999 5935133 5259-00500USD1 Method Surgical Cable System and Method 12/15/1998 Granted 5/21/2002 6391030 5259-00500USD2 Method Surgical Cable System and Method 8/26/1997 Granted 10/12/1999 5964769 5259-00500USD1 Method Method 5/26/1998 Granted 4/25/2000 6053921 5259-00500USP1 Method 7/23/1999 Granted 1/27/2004 6682533 5259-00500USP2 Method	09/070116	4/30/1998	Granted	3/16/2004	6706070	5259-00200USP2	Multi-Adjustable-Height Fusion Device	Erik J. Wagner	009422/0983 Recorded 8/24/98
12/15/1998 Granted 5/21/2002 6391030 5259-00500USD2 Method 8/26/1997 Granted 10/12/1999 5964769 5259-00500USO1 Method 5/26/1998 Granted 4/25/2000 6053921 5259-00500USP1 Method 7/23/1999 Granted 1/27/2004 6682533 5259-00500USP2 Method Method Surgical Cable System and Method Surgical Cable System and Method Surgical Cable System and Method	09/211887	12/15/1998	Granted	8/10/1999	5935133	5259-00500USD1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
8/26/1997 Granted 10/12/1999 5964769 5259-00500USO1 Method 5/26/1998 Granted 4/25/2000 6053921 5259-00500USP1 Method 7/23/1999 Granted 1/27/2004 6682533 5259-00500USP2 Method	09/211888	12/15/1998	Granted	5/21/2002	6391030	5259-00500USD2		Erik J. Wagner	008985/0594 Recorded 2/9/98
5/26/1998 Granted 4/25/2000 6053921 5259-00500USP1 Method 7/23/1999 Granted 1/27/2004 6682533 5259-00500USP2 Method	08/919127	8/26/1997	Granted	10/12/1999	5964769	5259-00500USO1		Erik J. Wagner	008985/0594 Recorded 2/9/98
7/23/1999 Granted 1/27/2004 6682533 5259-00500USP2 Method	09/085186	5/26/1998	Granted	4/25/2000	6053921	5259-00500USP1	Surgical Cable System and Method	Erik J. Wagner	009351/0189 Recorded 7/21/98 009874/0023 Recorded 4/2/99
	09/360062	7/23/1999	Granted	1/27/2004	6682533	5259-00500USP2	Surgical Cable System and Method	Erik J. Wagner	010396/0094 Recorded 11/22/99

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10/036012	12/26/2001	Filed			5259-00600USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	agner	009450/0947 Recorded 9/11/98
09/089027	6/2/1998	Granted	9/24/2002	6454769	5259-00600USP1	System and Method for Stabilizing the Human Spine with a Bone Plate	1	009450/0947 Recorded 9/11/98
09/095290	6/10/1998	Granted	2/29/2000	6030389	5259-00600USP2	System and Method for Stabilizing the Human Spine with a Bone Plate	1	009450/0959 Recorded 9/11/98
08/896281	7/16/1997	Granted	1/27/1999	5928243	5259-00700USO1	Pedicle Probe and Depth Gage	Richard D. Guyer	008932/0159 Recorded 1/30/98
08/265005	6/23/1994	Granted	4/16/1996	5507211	5259-01000USO1	Releasable Socket	Erik J. Wagner	
09/159303	9/23/1998	Granted	11/14/2000	6146382	5259-01200USO1	Occipito-Cervical Stabilization System and Method	John R. Hurlbert	0096667/0352 Recorded 12/23/98
09/070323	4/30/1998	Granted	8/8/2000	6099527	5259-01300USO1	Bone Protector and Method	Stephen Houchschuler	009650/0211 Recorded 11/9/98
09/059162	4/13/1998	Granted	5/16/2000	6063089	5259-02100USC1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/772407	12/23/1996	Granted	7/7/1998	5776135	5259-02100USO1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/059108	4/13/1998	Granted	10/31/2000	6139548	5259-02200USC1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/549977	10/30/1995	Granted	5/19/1998	5752955	5259-02200USO1	Sliding Shaft Variable. Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070234	4/30/1998	Granted	10/5/1999	5961518	5259-02300USC1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98

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09/261977	3/4/1999	Granted	I I	6017344	5259-02300USD1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/856773	5/15/1997	Granted	7/28/1998	5785711	5259-02300USO1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/880810	6/23/1997	Granted	9/22/1998	5810819	5259-02300USP1		Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070268	4/30/1998	Granted	12/7/1999	5997539	5259-02300USP2	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/622891	3/29/1996	Granted	8/5/1997	5653763	5259-02500USO1	Intervertebral Space Shape Conforming Cage Device	Joseph P. Errico	009490/0692 Recorded 10/15/98
08/567144	12/4/1995	Granted	9/16/1997	5667507	5259-02600USO1	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	James P. Errico	James P. Errico 9490/0692 Recorded 10/5/98
08/632561	4/15/1996	Granted	1/20/1998	5709684	5259-02600USP1	Advanced Compression Locking Variable Length Cross-Link Device	Joseph P. Errico	9490/0692 Recorded 10/5/98
09/229297	1/13/1999	Granted	9/26/2000	6123707	5259-03000USO1	Reduction Instrument	Erik J. Wagner	009840/0875 Recorded 3/2/99
09/298269	4/23/1999	Granted	9/3/2002	6442814	5259-03100USO1	Apparatus for Manufacturing a Bone Dowel	Erik J. Wagner	010085/0138 Recorded 7/12/99
09/369964	8/6/1999	Granted	5/6/2003	6557226	5259-03100USP1	Apparatus for Manufacturing a Bone Dowel	Erik J. Wagner	010347/0747 Recorded 10/29/99
09/532387	3/22/2000	Granted	5/20/2003	6565566	5259-03600USO1	Sacral Screw Assembly and Method	Erik J. Wagner	011073/0752 Recorded 9/13/00

Application No.	Filling (Date)	Status	lssue Date	Petentino	Attorney Docker No.) yeni	First Named Inventor	d Assignment/Reel/Frame/No. & Recordation/Date
10/015206	11/19/2001	Filed			5259-03700USC1		Jim Freid	010732/0443 Recorded 4/20/00
09/479458	1/6/2000	Granted	12/18/2001	6331179	5259-03700USO1	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00
09/961758	9/24/2001	Granted	9/9/2003	6616671	5259-04000USC1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/478923	1/6/2000	Granted	9/10/2002	6447512	5259-04000USO1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/755183	7/5/2001	Granted	2/25/2003	6524312	5259-04000USP1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	012032/0293 Recorded 7/31/01 013006/0228 Recorded 5/23/02
10/387329	3/11/2003	Filed			5259-04500USO1	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014380/0261 Recorded 8/11/03
10/387363	3/11/2003	Filed			5259-04500USO2	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014403/0794 Recorded 8/13/03
10/387361	3/11/2003	Filed			5259-04500USO3	Sinal Implant Including a Compressible Connector	Michael E. Landry	014383/0746 Recorded 8/11/03
09/952209	9/12/2001	Granted	6/8/2004	6746449	5259-04600USO1	Spinal Rod Translation Instrument	Robert J. Jones	Robert J. Jones 012557/0740 Recorded 1/30/02
09/684628	10/6/2000	Filed			5259-04700USO1	Transverse Connector with Cam Activated Engagers	Grady G. McBride	011515/0894 Recorded 2/12/01
09/684218	10/6/2000	Filed			5259-04800USO1	Adjustable Transverse Connector	Grady G. McBride	011518/0637 Recorded 2/12/01
09/680756	10/6/2000	Filed			5259-04900USO1	Adjustable Transverse Connector with Cam Activated Engagers	Grady G. McBride	011593/0900 Recorded 3/15/01
10/337219	1/6/2003	Filed			5259-07800USO1	Surgical Implants for Use as Spinal Spacers	David J. Krueger	014157/0620 Recorded 6/6/03

Application No Filing Date: Status	FIIIngiDate		issue Date	Patenti No:	Attorney Docket No. Title	A PART OF THE PART	First Named Inventor	Assignment Reel/Frame No. 8. Recordation Date
10/200024	2/19/2002	Filod			6260 020001 1804	Spinal Stabilization System	Margaret E.	013449/0035 Recorded
10,500,57	T	2			3233-07 900030 1	alid Melliod	ואווכוםוו	10/23/02
		i					Javier Garcia-	
10/291245	11/8/2002	Filed			5259-08300USO1	Spinal Implant	Bengchoa	013796/0202 Recorded 2/24/03
						Instruments and Methods for Javier Garcia-	Javier Garcia-	
10/291223	11/8/2002	Filed			5259-08300USO2	Inserting a Spinal Implant	Bengchoa	013786/0320 Recorded 2/21/03
		•				Spinal Plate Extender		
10/356391	1/31/2003	Filed			5259-09200USO1	System and Method	Jim Freid	014181/0907 Recorded 6/16/03
-						Spinal Plate System for		
						Stabilizing a Portion of a		
10/351283	1/23/2003	Filed			5259-09900USO1	Spine	Jim Freid	014397/0674 Recorded 8/14/03
						Spinal Plate System for		
						Stabilizing a Portion of a		
10/351288	1/24/2003	Filed			5259-09900USO2	Spine	Jim Freid	014302/0118 Recorded 7/15/03
29/155057	2/1/2002	Filed			5259-10000USO1	Extension Plate	Jim Freid	012823/0549 Recorded 4/22/02
							Reginald J.	
10/035052	12/28/2001	Granted	5/18/2004	6736850	5259-12300USO1	Pseudo Arthrosis Device	Davis	
							Reginald J.	
10/331191	12/27/2002	Filed			5259-12300USP1	Pseudo Arthrosis Device	Davis	014164/0207 Recorded 6/12/03

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Application Number	See Attachment A
Filing Date	See Attachment A
First Named Inventor	See Attachment A
Title	See Attachment A
Art Unit	
Examiner Name	
Attorney Docket Number	See Attachment A

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Applicant/Inventor.			
Assignee of record of the entire interest. See 37 CFR Statement under 37 CFR 3.73(b) is enclosed. (Form I	3.71. PTO/SB/96)		
SIGNATURE of Applicant or Assignee of Record (if assignee		company name in the "	"Name" space below)
Name Jeffrey R. Binder			
Signature XMM I			
Date () 7 23 -		Telephone (512)	918-2700
NOTE: Signatures of all the inventors or assignees of record of the entir forms if more than one signature is required, see below*.	e interest or their representati	ive(s) are required. Submit	multiple
*Total of forms are submitted.			

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Application No. Filing Date Status	Filling Date	Status	Issue Date	Patent No.	Attorney Postpering		First Named Con	FirstNamed Will Assignment Reel/Frame No. C.
09/691279	10/17/2000	Granted	5/13/2003	3562040	5259-00100USC1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
09/093756	6/9/1998	Granted	10/17/2000	6132430	5259-00100USD1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
09/434324	11/4/1999	Filed		par	5259-00100USD2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008359/0658 Recorded 1/21/97; 008802/0192 Recorded 12/31/97; 014210/0603 Recorded 6/26/03
08/740123	10/24/1996	Granted	7/9/2002	6416515	5259-00100USO1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
08/942325	10/1/1997	Granted	7/22/2003	6595992	5259-00100USP1	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008802/0192 Recorded 12/31/97
09/026711	2/20/1998	Granted	11/23/1999	5989250	5259-00100USP2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	014210/0603 Recorded 6/26/03
09/605200	6/27/2000	Granted	6/10/2003	6576016	5259-00200USC1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98; 008756/0146 Recorded 10/14/97
09/153178	9/15/1998	Granted	6/27/2000	6080193	5259-00200USD1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98
08/847172	5/1/1997	Granted	4/4/2000	6045579	5259-00200USO1	Adjustable Height Fusion Device	Stephen Houchschuler	008756/0146 Recorded 10/14/97
09/046759	3/24/1998	Granted	11/4/2003	6641614	5259-00200USP1	Multi-Adjustable-Height Fusion Cage	Erik J. Wagner	009442/0848 Recorded 8/31/98
09/070116	4/30/1998	Granted	3/16/2004	6706070	5259-00200USP2	Multi-Adjustable-Height Fusion Device	Erik J. Wagner	009422/0983 Recorded 8/24/98
09/211887	12/15/1998	Granted	8/10/1999	5935133	5259-00500USD1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/211888	12/15/1998	Granted	5/21/2002	6391030	5259-00500USD2	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
08/919127	8/26/1997	Granted	10/12/1999	5964769	5259-00500USO1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/085186	5/26/1998	Granted	4/25/2000	6053921	5259-00500USP1	Surgical Cable System and Method	Erik J. Wagner	009351/0189 Recorded 7/21/98 009874/0023 Recorded 4/2/99
09/360062	7/23/1999	Granted	1/27/2004	6682533	5259-00500USP2	Surgical Cable System and Method	Erik J. Wagner	010396/0094 Recorded 11/22/99

Application No. Filing Date	Filing Date	Status	Saue Date	Patenti No.	Attorney Docket No.	मार्गेट)	(Alisi(Named Inventor	Assignmenti Resultemo No. ය Record Monions
10/036012	12/26/2001	Filed			5259-00600USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0947 Recorded 9/11/98
09/089027	6/2/1998	Granted	9/24/2002	6454769	5259-00600USP1	System and Method for Stabilizing the Human Spine with a Bone Plate		009450/0947 Recorded 9/11/98
09/095290	6/10/1998	Granted	2/29/2000	6030389	5259-00600USP2	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0959 Recorded 9/11/98
08/896281	7/16/1997	Granted	7/27/1999	5928243	5259-00700USO1	Pedicle Probe and Depth Gage	Richard D. Guyer	008932/0159 Recorded 1/30/98
09/159303	9/23/1998	Granted	11/14/2000	6146382	5259-01200USO1	Occipito-Cervical Stabilization System and Method	John R. Hurlbert	0096667/0352 Recorded 12/23/98
09/070323	4/30/1998	Granted	8/8/2000	6099527	5259-01300USO1	Bone Protector and Method	Stephen Houchschuler	009650/0211 Recorded 11/9/98
09/059162	4/13/1998	Granted	5/16/2000	6063089	5259-02100USC1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/772407	12/23/1996	Granted	7/7/1998	5776135	5259-02100USO1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
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08/549977	10/30/1995	Granted	5/19/1998	5752955	5259-02200USO1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070234	4/30/1998	Granted	10/5/1999	5961518	5259-02300USC1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/261977	3/4/1999	Granted	1/25/2000	6017344	5259-02300USD1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98

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Assignmentikestificms No. 3. Recordation Data	009275/0021 Recorded 6/30/98	009275/0021 Recorded 6/30/98	009275/0021 Recorded 6/30/98	009490/0692 Recorded 10/15/98	James P. Errico 9490/0692 Recorded 10/5/98	9490/0692 Recorded 10/5/98	009840/0875 Recorded 3/2/99	010085/0138 Recorded 7/12/99	010347/0747 Recorded 10/29/99	011073/0752 Recorded 9/13/00	010732/0443 Recorded 4/20/00	010732/0443 Recorded 4/20/00
Filest Nemed Inventor	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	James P. Errico	Joseph P. Errico	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Jim Freid	Jim Freid
9011	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Intervertebral Space Shape Conforming Cage Device	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Advanced Compression Locking Variable Length Cross-Link Device	Reduction Instrument	Apparatus for Manufacturing a Bone Dowel	Apparatus for Manufacturing a Bone Dowel	Sacral Screw Assembly and Method	System and Method for Stabilizing the Human Spine with a Bone Plate	System and Method for Stabilizing the Human Spine with a Bone Plate
Attorney Docket No.	5259-02300USO1	5259-02300USP1	5259-02300USP2	5259 <u>-</u> 02500USO1	5259-02600USO1	5259-02600USP1	5259-03000USO1	5259-03100USO1	5259-03100USP1	5259-03600USO1	5259-03700USC1	5259-03700USC2
Patent No.	5785711	5810819	5997539	5653763	5667507	5709684	6123707	6442814	6557226	6565566		
Issvelbate.	7/28/1998	9/22/1998	12/7/1999	8/5/1997	9/16/1997	1/20/1998	9/26/2000	9/3/2002	5/6/2003	5/20/2003		
Status	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Filed	Filed
Filing Date:	5/15/1997	6/23/1997	4/30/1998	3/29/1996	12/4/1995	4/15/1996	1/13/1999	4/23/1999	8/6/1999	3/22/2000	11/19/2001	12/15/2003
Appliection No. Filing Date: Status	08/856773	08/880810	09/070268	08/622891	08/567144	08/632561	09/229297	09/298269	09/369964	09/532387	10/015206	10/735976

Application No. Filing Date. Status Issue Date. Patentin	Filing Date	Status 🗧	Issue Date	230	Attorney Docket No.	Sp <u>II</u>	Filrst)Named Inventor	<u> Assignmenti Reall Framo</u> No. යි. Recortetión Para
09/479458	1/6/2000	Granted	12/18/2001	6331170	5250 03700HSO4	System and Method for Stabilizing the Human Spine		
				21122	000000000000000000000000000000000000000	Instrument and Mathed for	ם בובי	010732/0443 Recorded 4/20/00
09/961758	9/24/2001	Granted	9/9/2003	6616671	5259-04000USC1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/478923	1/6/2000	Granted	9/10/2002	6447512	5259-04000USO1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/755183	7/5/2001	Granted	2/25/2003	6524312	5259-04000USP1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	012032/0293 Recorded 7/31/01 013006/0228 Recorded 5/23/02
10/387329	3/11/2003	Filed			5259-04500USO1	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014380/0261 Recorded 8/11/03
10/387363	3/11/2003	Filed			5259-04500USO2	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014403/0794 Recorded 8/13/03
10/387361	3/11/2003	Filed			5259-04500USO3	Sinal Implant Including a Compressible Connector	Michael E. Landry	014383/0746 Recorded 8/11/03
09/952209	9/12/2001	Granted	6/8/2004	6746449	5259-04600USO1	Spinal Rod Translation Instrument	Robert J. Jones	Robert J. Jones 012557/0740 Recorded 1/30/02
09/684628	10/6/2000	Filed			5259-04700USO1	Transverse Connector with Cam Activated Engagers	Grady G. McBride	011515/0894 Recorded 2/12/01
09/684218	10/6/2000	Filed			5259-04800USO1	Adjustable Transverse Connector	Grady G. McBride	011518/0637 Recorded 2/12/01
09/680756	10/6/2000	Filed			5259-04900USO1	Adjustable Transverse Connector with Cam Activated Engagers	Grady G. McBride	011593/0900 Recorded 3/15/01
10/337219	1/6/2003	Filed			5259-07800USO1	Surgical Implants for Use as Spinal Spacers	David J. Krueger	014157/0620 Recorded 6/6/03
10/200024	7/19/2002	Filed			5259-07900USO1	Spinal Stabilization System and Method	Margaret E. Mitchell	013449/0035 Recorded 10/29/02
10/291245	11/8/2002	Filed			5259-08300USO1	Spinal Implant	Javier Garcia- Bengchoa	013796/0202 Recorded 2/24/03

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							MVentorial and a	Kecordation Date Marketter
10/291223	11/8/2002	Filed			6050100830.03	Instruments and Methods for Javier Garcia-	Javier Garcia-	
					3233-00300302	illseriirig a opinal implant	bengcnoa	013/86/0320 Recorded 2/21/03
10/356391	1/31/2003	Filed			5259-09200USO1	Spinal Plate Extender System and Method	Jim Freid	014181/0907 Recorded 6/16/03
						Spinal Plate System for		
10/351282	1 122 12003	7				Stabilizing a Portion of a		
10/321203	1/23/2003	rlled			5259-09900USO1	Spine	Jim Freid	014397/0674 Recorded 8/14/03
				(140-)	•	Spinal Plate System for		
4000		i		, .		Stabilizing a Portion of a		•
10/351288	1/24/2003	Filed			5259-09900USO2	Spine	Jim Freid	014302/0118 Recorded 7/15/03
29/155057	2/1/2002	Filed			5259-10000USO1	Extension Plate	Jim Freid	012823/0549 Recorded 4/72/02
						Bone Fastner Assembly for		
,						a Spinal Stabilization	Michael E.	Assignment filed 4/2/04
10/698049	10/30/2003	Filed			5259-10700USO1	System	Landry	Reel/Frame not yet received
						Spinal Stabilization Systems		
						and Methods Using		
		i				Minimally Invasive Surgical	Michael E.	Assignment filed 3/30/04
10/0880/10	10/30/2003	Filed			5259-10700USO2	Procedures	Landry	Reel/Frame not yet received
40/607703	0000	·				Spinal Stabilization Systems	Michael E.	Assignment filed 3/29/04
10/03/193	10/30/2003	Liled			5259-10700USO3	and Methods	Landry	Reel/Frame not yet received
						Spinal Stabilization System	Michael E.	Assignment filed 3/31/04
10/698046	10/30/2003	Filed			5259-10800USO1	Using Flexible Members	Landry	Reel/Frame not yet received
	9	·					James F.	Assignment Filed 3/12/2004;
10/080800	10/31/2003	Filed			5259-11100USO1	Spinal Disc Implant	Marino	Reel/Frame not yet received
10/600610	40,04,0000						David J.	Assignment filed 4/2/04
010880101	10/21/2003	Liled			5259-11300USO1	Movable Disc Implant	Krueger	Reel/Frame not yet received
400004		i					Reginald J.	
10/331191	12/2/12002	Filed			5259-12300USP1	Pseudo Arthrosis Device	Davis	014164/0207 Recorded 6/12/03